

**VS Form 9-3****VS Form 9-3 Report of Sales of Hatching Eggs, Chicks, and Poult**

NPIP participants use this form to record any interstate sales of their hatching eggs, chicks, and poult. This document is used by both APHIS and the receiving State to monitor the movements of these items. This form also serves as a vital investigative aid when APHIS is attempting to track down the source of a poultry disease. These records must be maintained by producers for 3 years.

This form is used for interstate sales of products and some countries will accept this form for shipments into their country. The use of this form provides the originating Official State Agency an opportunity to verify the product being shipped. It will also notify the Official State Agency of the importing State as to movements of products into his/her State and their classifications. Many states require a VS Form 9-3 for all shipments coming into their state.

**9-3 – Report of Hatching Eggs, Chicks, and Poultry**

- Used for interstate sales of products
- Allow originating OSA opportunity to verify the product being shipped
- Notifies OSA of importing state as to movements of products into the state and their classification

**Record Keeping –145.12(b)**

- The records of all flocks maintained primarily for production of hatching eggs shall be examined annually by a state inspector
- Records will include:
  - VS Forms 9-2 and 9-3
  - Set and hatch records
  - Egg receipts
  - Egg/chick orders or invoices
- **Records should be kept for 3 years**

**Routing:**

**Part 1 – To Accompany Shipment**

**Part 2 – Foreign Purchaser’s Copy or Domestic Purchaser’s Official State Agency Copy (after 13 and 14 are completed)**

**Part 3 – Shipper’s Official State Agency**

**Part 4 – Shipper’s Copy**

### 9-3 Instructions for Completion

1-3 Self Explanatory

We plan to add a request for physical address and phone number.

4 - Indicate number (not cases, boxes, or dozens) of each kind of product

5 - Use a separate line to identify each product by variety, strain, or trade name

6 - Product –describe each product by inserting an X in one of the 5 columns

7 - Sex – insert an X in one of the 3 columns, except for eggs

8 - Type – Insert an X in one of the 3 columns as follows:

**Commercial Production Stock**-Products (egg or baby poultry) not intended to be used for breeding purposes

**Multiplier Breeding Stock**—Breeding stock whose progeny will be used for commercial egg or meat production or exhibition purposes and not intended for further reproduction

**Primary Breeding Stock**-Breeding stock whose progeny will be used for further reproduction

9- Classification- Enter an X in the column for each NPIP classification for which the product is qualified.

S. Enteritidis Monitored is no longer an NPIP classification so should never be checked

10- Self explanatory

11- Signature of State Inspector and Date-- may be signed prior to form being sent to participant

The State Inspector's signature on this line certifies that the Producer/Shipper named on Line 3 is on that day, a member in good standing of the NPIP.

12- Should be dated on the day that Line 11 is signed since it signifies the day that the member is in good standing.

13-14 Signature of State Inspector and Date -- Should not be signed until classification checked by participant are verified by State Inspector

Line 13- Lines 13 and 14 are not shown on the first (white) page of the form which perhaps contributes to some confusion. Line 13 on the pink and blue copies should not be signed until the indicated classification is checked by participant and verified by the State Inspector. Signing here signifies that the Inspector has verified status compliance of the Producer/Shipper's classifications that have been checked in the boxes.

Line 14- Should be dated at the time the classifications are verified by the State Inspector in conjunction with the signature on Line 13.

Signing Line 11 and dating Line 12 means that company to which the form is given is in good standing with the NPIP at that time. It does not imply any certification of any additional classifications at that time other than Pullorum-Typhoid. Obviously, it would be better to verify the marked classifications and sign when the birds are to be shipped but in most cases this is impossible.

When distributing signed forms to Producers/Shippers, the OSA should record all 9-3 Report Numbers within the 9-3 booklet to have a record of the location of every initially signed form. It is a good idea to check outstanding initially signed (Line 11 & 12) and distributed forms annually to ensure forms are not outdated. It would be better if all initially signed forms were used within a year but this may not be possible. Initially signed forms that are still outstanding after 2 years should be recalled and appropriately destroyed.

The current VS Form 9-3 of Dec. 2005 is outdated in that the SE Monitored Program is listed as an option. This form is in the process of being updated but will take some time to get it through the system.